# **EOBI INVITES**

#### EXPRESSION OF INTEREST (EOI)

## From Stock Brokerage Houses / Firms for enlistment of Brokerage Panel of EOBI

EOI is invited from leading brokerage houses / members of stock exchange(s) for enlistment on brokerage panel of EOBI. Interested brokerage houses / firms may submit their request along with required documents for the process of enlistment.

The complete documents should be submitted by 20-10-11 by 3.00 p.m to the undersigned. The list of required documents may be obtained from the undersigned during the office hours without any charge.

The interested brokerage houses / firms may download the EOI for enlistment of brokerage panel of EOBI and the list of required documents from PPRA website: <u>www.ppra.org.pk</u> and EOBI website: <u>www.eobi.gov.pk</u>.

EOBI reserves the right to accept or reject any or all request(s) in compliance to the relevant clauses of PPRA rules.

Mr. Atif Awan Assistant Director (Investments) 3<sup>rd</sup> Floor, EOBI House (Ex: Awami Markaz) Shahrah-e-Faisal, Karachi. Dir: (+92) 021-99240796 Ph: (+92) 021-99240789-91

## **BROKERAGE ENLISTMENTS REQUIREMENTS FOR THE EOBI**

#### Required Information for the enlistment of EOBI Brokerage Penal

- 1. Date of incorporation as a Corporate Brokerage House.
- 2. Date of registration with SECP.
- 3. Date of membership with stock exchange(s)
  - Karachi Stock Exchange
  - Lahore Stock Exchange
  - Islamabad Stock Exchange
- 4. Details of trading support equipment, managing professionals, database system and trading infrastructure.
- 5. The list of institutional clients and their date of incorporation with the Brokerage House.
- 6. Annual Reports (Audited) for last three years.
- 7. Detail of launching of independence research department for multiple functions like full time Research, capable of providing fundamental, Technical and Economics research and strategic reports.
- 8. Information about the online trading facility.
- 9. Detail of Foreign affiliation.
- 10. In case if the Brokerage House is ISO Certified then provide the details of ISO certification.

The detail of qualification of all personals employed in the Brokerage House.